

Formal definitions:

$$\Theta(g(n)) = \{f(n) \mid \exists c_1, c_2, n_0 \in \mathbb{R}^+ \text{ such that } \forall n \geq n_0, 0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n)\}$$

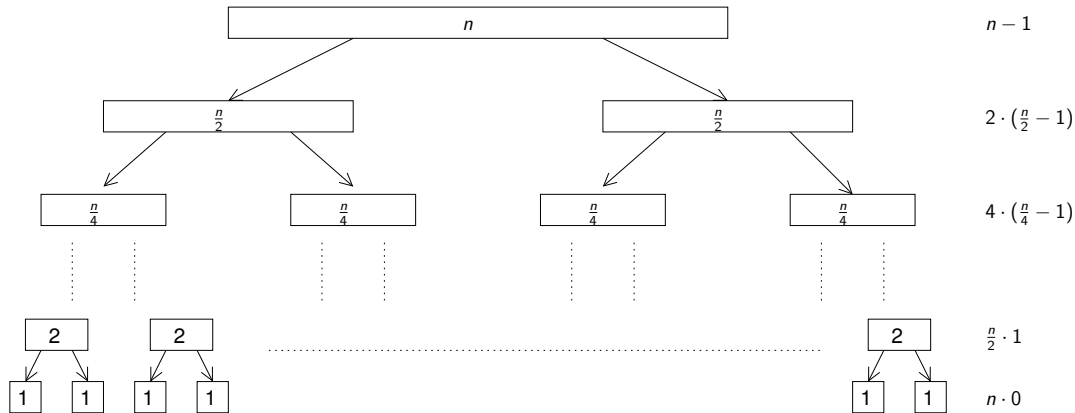
$$O(g(n)) = \{f(n) \mid \exists c, n_0 \in \mathbb{R}^+ \text{ such that } \forall n \geq n_0, 0 \leq f(n) \leq c g(n)\}$$

$$\Omega(g(n)) = \{f(n) \mid \exists c, n_0 \in \mathbb{R}^+ \text{ such that } \forall n \geq n_0, 0 \leq c g(n) \leq f(n)\}$$

$$o(g(n)) = \{f(n) \mid \forall c \in \mathbb{R}^+, \exists n_0 \in \mathbb{R}^+ \text{ such that } \forall n \geq n_0, 0 \leq f(n) < c g(n)\}$$

$$\omega(g(n)) = \{f(n) \mid \forall c \in \mathbb{R}^+, \exists n_0 \in \mathbb{R}^+ \text{ such that } \forall n \geq n_0, 0 \leq c g(n) < f(n)\}$$

$$C_{ms}(n) = \begin{cases} 0 & \text{if } n \leq 1 \\ n - 1 + 2C_{ms}(\frac{n}{2}) & \text{otherwise} \end{cases}$$



The Master theorem (CLRS pg 94). Let $T : \mathbb{N} \rightarrow \mathbb{N}$ be defined by the recurrence

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

where $a \geq 1$ and $b > 1$.

- ▶ If $f(n) = O(n^{\log_b a - \epsilon})$ for some $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$
- ▶ If $f(n) = \Theta(n^{\log_b a})$ for some $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a} \lg n)$
- ▶ If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some $\epsilon > 0$ and $a \cdot f\left(\frac{n}{b}\right) = O(f(n))$, then $T(n) = \Theta(f(n))$

Understanding the Master theorem:

The work done at the leaves is inherently $\Theta(n^{\log_b a})$

binary search

$$a = 1 \quad b = 2$$

1 leaf

$$n^{\log_2 1} = n^0 = 1$$

merge sort

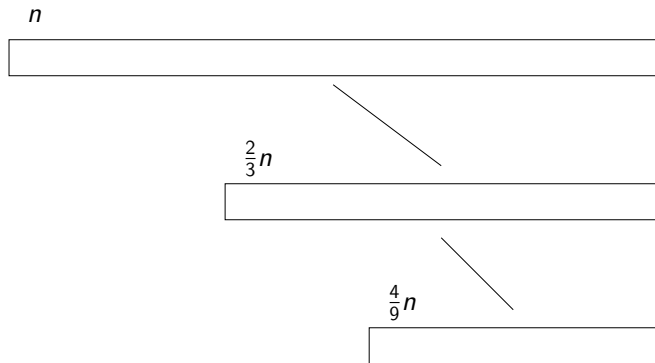
$$a = 2 \quad b = 2$$

n leaves

$$n^{\log_2 2} = n^1$$

Understanding the Master theorem:

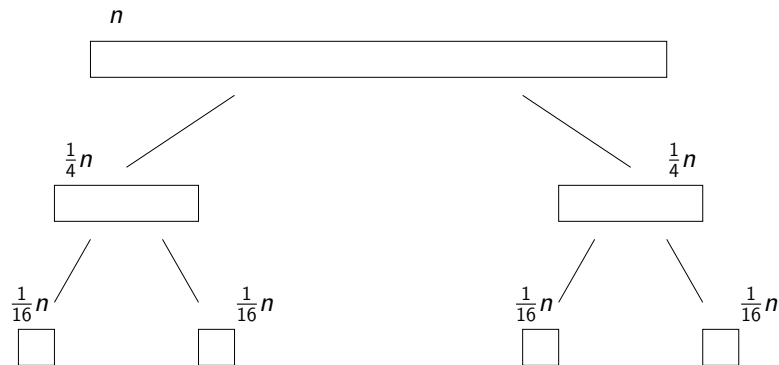
Imagine throwing away $\frac{1}{3}$ of the problem each time.



$a = 1$, $b = \frac{3}{2}$, number of leaves: $n^{\log_{\frac{3}{2}} 1} = 1$.

Understanding the Master theorem:

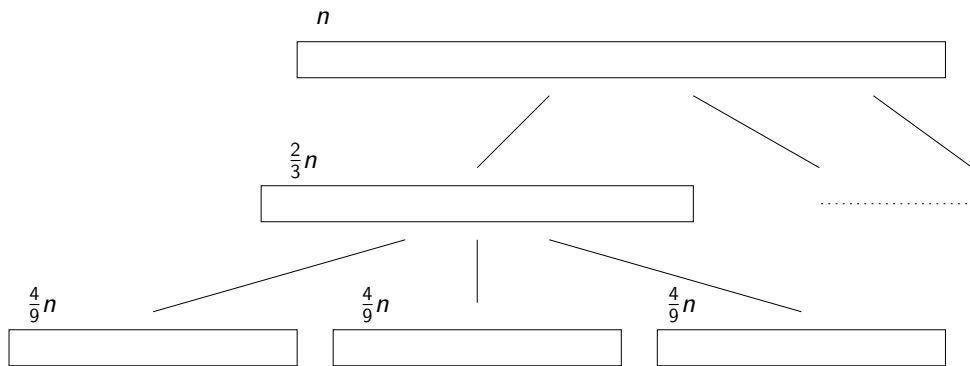
Imagine throwing away two quarters of the problem each time, keeping two (independent) quarters.



$a = 2$, $b = 4$, number of leaves: $n^{\log_4 2} = n^{\frac{1}{2}}$.

Understanding the Master theorem:

Imagine three *overlapping* subproblems, each with size $\frac{2}{3}$



$a = 3$, $b = \frac{3}{2}$, number of leaves: $n^{\log_{\frac{3}{2}} 3} \approx n^{2.7}$.

Understanding the Master theorem:

Let a be the number of subproblems and b be the factor by which the subproblems are decreasing in size (size of subproblems are $\frac{n}{b}$). Then

- ▶ The number of leaves is $\Theta(n^{\log_b a})$.
- ▶ Assuming a constant amount of work for each leaf, the work done at the leaves is $\Theta(n^{\log_b a})$.
- ▶ Thus the total work done by the algorithm is $\Omega(n^{\log_b a})$.

Understanding the Master theorem:

In the recursion tree, what dominates—the work at the *root* or at the *leaves*?

- ▶ If one clearly (*polynomially*) dominates, then the whole work is Θ of whichever it is.
- ▶ If they're asymptotically equivalent, then multiply the work at each level by the height of the tree, which is $\Theta(\lg n)$.
- ▶ Otherwise, you're out of luck.

Understanding the Master theorem—a less-formal, big-oh-only version:

If $T(n) \leq aT(\frac{n}{b}) + O(n^d)$, then

$$T(n) = \begin{cases} O(n^d \lg n) & \text{if } a = b^d \quad (\text{same work at each level}) \\ O(n^d) & \text{if } a < b^d \quad (\text{root dominates}) \\ O(n^{\lg_b a}) & \text{if } a > b^d \quad (\text{leaves dominate}) \end{cases}$$

The Master theorem (CLRS pg 94). Let $T : \mathbb{N} \rightarrow \mathbb{N}$ be defined by the recurrence

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

where $a \geq 1$ and $b > 1$.

- ▶ If $f(n) = O(n^{\log_b a - \epsilon})$ for some $\epsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$
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- ▶ If $f(n) = \Omega(n^{\log_b a + \epsilon})$ for some $\epsilon > 0$ and $a \cdot f\left(\frac{n}{b}\right) = O(f(n))$, then $T(n) = \Theta(f(n))$

The “regularity” condition, that is there exists c such that

$$a \cdot f\left(\frac{n}{b}\right) \leq c \cdot f(n)$$

The amount of work at the next level needs to be “smaller” (asymptotically no bigger than) the work at the current level.

Ex. 4.5-1.

In each of these, $a = 2$, $b = 4$. $n^{\log_b a} = n^{\log_4 2} = n^{\frac{1}{2}}$

a. $T(n) = 2T(\frac{n}{4}) + 1$

$$f(n) = 1 = O(n^{\log_4 2 - \epsilon}) = O(n^{\frac{1}{2} - \epsilon})$$

where $\epsilon = \frac{1}{4}$, for example. hence $\Theta(n^{\frac{1}{2}})$.

b. $T(n) = 2T(\frac{n}{4}) + \sqrt{n}$

$$f(n) = \sqrt{n} = n^{\frac{1}{2}} = \Theta(n^{\frac{1}{2}})$$

So $\Theta(n^{\frac{1}{2}} \lg n)$

Ex. 4.5-1.

$$a = 2, b = 4. \quad n^{\log_b a} = n^{\log_4 2} = n^{\frac{1}{2}}$$

c. $T(n) = 2T(\frac{n}{4}) + n$

$$f(n) = n = \Omega(n^{\frac{1}{2} + \epsilon})$$

where $\epsilon = \frac{1}{4}$, for example. So $\Theta(n)$.

d. $T(n) = 2T(\frac{n}{4}) + n^2$

$$f(n) = n^2 = \Omega(n^{\frac{1}{2} + \epsilon})$$

where $\epsilon = 1$, for example. So $\Theta(n^2)$.

4-1.

a. $T(n) = 2T(\frac{n}{2}) + n^4$

Using the master method, $a = 2$, $b = 2$, and $f(n) = n^4 = \Omega(n^{1+\epsilon})$ where $\epsilon = 2$. So, $\Theta(n^4)$.

Using the substitution method, guess cn^4 . Then

$$\begin{aligned}T(n) &= 2T(\frac{n}{2}) + n^4 \\&= 2c(\frac{n}{2})^4 + n^4 \\&= \frac{c}{8}n^4 + n^4 \\&= (\frac{c+8}{8})n^4\end{aligned}$$

We need $\frac{c+8}{8} = c$, so $c = \frac{8}{7}$.

4-1.

b. Using the master method, $a = 1$, $b = \frac{10}{7}$. Note that $\log_{\frac{10}{7}} 1 = 0$.

$f(n) = n = \Omega(n^{0+\epsilon})$ where $\epsilon = \frac{1}{2}$. So, $\Theta(n)$.

Using the substitution method, guess cn . Then

$$T(n) = T\left(\frac{7n}{10}\right) + n$$

$$= c\left(\frac{7}{10}n\right) + n$$

$$= \frac{7c+10}{10}n$$

$$\frac{7c+10}{10} = c$$

$$7c + 10 = 10c$$

$$c = \frac{10}{3}$$

4.5-4. Can we use the Master method on $T(n) = 4T(\frac{n}{2}) + n^2 \lg n$?

No. $a = 4$, $b = 2$, $\log_2 4 = 2$. Note that $n^2 \lg n = \Omega(n^2)$, but there does not exist ϵ such that $n^2 \lg n = \Omega(n^{2-\epsilon})$