

## I. Core / B. Divide and Conquer

- ▶ General introduction (aspired to on Monday but actually Wednesday)
- ▶ Solving recurrences (also **today**)
- ▶ The master method (next week Monday)
- ▶ Quick sort (next week Wednesday)

Today:

- ▶ Old bits from Section 3.1
- ▶ Problem 3-4.c
- ▶ Common functions (Section 3.2)
- ▶ Divide and conquer big pictures (Sections 4.(1 & 2))
- ▶ The substitution method (Section 4.3)

For next time

*Read sections 4.(4 & 5).*

*Do Ex 4.5-1 and Problem 4-1.(a.b)*

**Problem 3-4.c.** If  $f(n) = O(g(n))$  and  $\lg(g(n)) \geq 1$  and  $f(n) \geq 1$  for sufficiently large  $n$ , then  $\lg(f(n)) = O(\lg(g(n)))$ .

Scratch work: We need a  $d$  such that

$$\begin{aligned}\lg c + \lg g(n) &\leq d \lg g(n) \\ d &\geq \frac{\lg c}{\lg g(n)} + \frac{\lg g(n)}{\lg g(n)} \\ &\geq \lg c + 1 \\ (\lg c + 1)\lg g(n) &= \lg c \cdot \lg g(n) + \lg g(n)\end{aligned}$$

**Proof.** Suppose  $f(n) = O(g(n))$ . Then there exist  $c, n_0$  such that for all  $n > n_0$ ,  $f(n) \leq c \cdot g(n)$ . Then

$$\begin{aligned}\lg f(n) &\leq \lg c \lg g(n) && \text{since } \lg \text{ is increasing} \\ &\leq \lg c + \lg g(n) && \text{by log property} \\ &\leq \lg c \cdot \lg g(n) + \lg g(n) && \text{Since } \lg g(n) \geq 1 \\ &\leq (\lg c + 1) \cdot \lg g(n)\end{aligned}$$

Thus for  $n > n_0$ ,  $\lg(f(n)) \leq (\lg c + 1)\lg(g(n))$ .

## Big “morals” of §4.(1 & 2)

- ▶ Many problems have good divide and conquer solutions. The running time of a divide and conquer algorithm can be captured by a recurrence. So, let’s make sure we can do recurrences.
- ▶ Sometimes it’s divide-and-conquer even when it doesn’t seem like it is.
- ▶ “Solving” a recurrence means finding an equivalent non-recursive formula.

“Normal” math induction:

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$$\begin{aligned} & I(0) \\ & I(n) \rightarrow I(n+1) \\ \therefore & \forall n \in \mathbb{N}, I(n) \end{aligned}$$

“Strong” math induction:

$$\begin{aligned} & I(0) \\ & (\forall i \leq n, I(i)) \rightarrow I(n+1) \\ \therefore & \forall n \in \mathbb{N}, I(n) \end{aligned}$$

Elements of recurrences (things to look for in making a good guess):

- ▶ The coefficient of the recursive application (number of subproblems)
- ▶ The divisor of  $n$  in the recursive application (size of subproblems)
- ▶ The non-recursive terms

**Ex. 4.3-1.**  $T(n) = T(n - 1) + n$ .

**Ex. 4.3-1.**  $T(n) = T(n-1) + n$ . Guess  $T(n) \leq c \cdot n^2$ . Then

$$\begin{aligned} T(n) &\leq c(n-1)^2 + n \\ &= cn^2 - 2cn + c + n \\ &= cn^2 + (1-2c)n + c \\ &\leq cn^2 \end{aligned}$$

The last step holds as long as

$$(1-2c)n + c \leq 0$$

$$(2c-1)n \geq c$$

$$n \geq \frac{c}{2c-1}$$

The recurrence holds so long as  $c > \frac{1}{2}$  and  $n_0 > \frac{c}{2c-1}$ .



**4.3-2.**  $T(n) = T(\lceil \frac{n}{2} \rceil) + 1.$

**4.3-2.**  $T(n) = T(\lceil \frac{n}{2} \rceil) + 1$ . First attempt. Guess  $T(n) \leq c \lg n$

$$\begin{aligned} T(n) &\leq c \lg \lceil \frac{n}{2} \rceil + 1 \\ &\leq c \lg(\frac{n}{2} + \frac{1}{2}) + 1 \\ &= c \lg(\frac{n+1}{2}) + 1 \\ &= c(\lg(n+1) - \lg 2) + 1 \\ &= c(\lg(n+1) - 1) + 1 \\ &= c \lg(n+1) - c + 1 \end{aligned}$$

We would need this to be less than  $c \lg n \dots$

**4.3-2.**  $T(n) = T(\lceil \frac{n}{2} \rceil) + 1$ . Try again. This time, guess  $T(n) \leq c \lg(n - b)$ .

$$\begin{aligned} T(n) &\leq c \lg(\lceil \frac{n}{2} \rceil - b) + 1 \\ &\leq c \lg(\frac{n}{2} + \frac{1}{2} - b) + 1 \\ &= c \lg(\frac{n+1-2b}{2}) + 1 \\ &= c(\lg(n+1-2b) - \lg 2) + 1 \\ &= c \lg(n+1-2b) - c + 1 \\ &\leq c \lg(n-b) \end{aligned}$$

The last part holds if  $n+1-2b \leq n-b$ , so  $b \geq 1$ ; and if  $-c+1 \leq 0$ , so  $c \geq 1$ .

**4.3-6.**  $T(n) = 2T(\lfloor \frac{n}{2} \rfloor) + 17) + n.$

**4.3-6.**  $T(n) = 2T(\lfloor \frac{n}{2} \rfloor + 17) + n$ . Guess  $cn \lg n$ . Then

$$\begin{aligned} T(n) &= 2T(\lfloor \frac{n}{2} \rfloor + 17) + n \\ &\leq 2c(\lfloor \frac{n}{2} \rfloor + 17) \lg(\lfloor \frac{n}{2} \rfloor + 17) + n \\ &\leq 2c(\frac{n}{2} + 17) \lg(\frac{n}{2} + 17) + n \\ &= c(n + 34)(\lg(n + 34) - 1) + n \\ &= cn \lg(n + 34) - cn - c34 + n \end{aligned}$$

This isn't working out.

**4.3-6.**  $T(n) = 2T(\lfloor \frac{n}{2} \rfloor + 17) + n$ . Try again, this time guess  $c(n - 34) \lg(n - 34)$ .

$$\begin{aligned} T(n) &= 2T(\lfloor \frac{n}{2} \rfloor + 17) + n \\ &\leq 2c(\lfloor \frac{n}{2} \rfloor + 17 - 34) \lg(\lfloor \frac{n}{2} \rfloor + 17 - 34) + n \\ &\leq 2c(\frac{n}{2} + 17 - 34) \lg(\frac{n}{2} + 17 - 34) + n \\ &= c(n - 34) \lg(\frac{n-34}{2}) + n = c(n - 34)(\lg(n - 34) - 1) + n \\ &= c(n - 34) \lg(n - 34) - cn + 34c + n \leq c(n - 34) \lg(n - 34) \end{aligned}$$

The last step holds if  $-cn + 34c + n \leq 0$ .

$$cn - 34c \leq n$$

$$c \geq \frac{n}{n-34}$$

Notice that as  $n$  gets bigger, the ratio gets closer to 1, but will always be slightly bigger. Pick  $c = 2$ . Then we need  $2n - 68 \geq n$ , or  $n \geq 68$ .

**4.3-9.**  $T(n) = 3T(\sqrt{n}) + \lg n.$

**4.3-9.**  $T(n) = 3T(\sqrt{n}) + \lg n$ . Let  $m = \lg n$ ,  $n = 2^m$ . Then define

$$\begin{aligned} S(m) &= T(2^m) \\ &= 3T(2^{\frac{m}{2}}) + \lg 2^m \\ &= 3T(2^{\frac{m}{2}}) + m \\ &= 3S(\frac{m}{2}) + m \end{aligned}$$

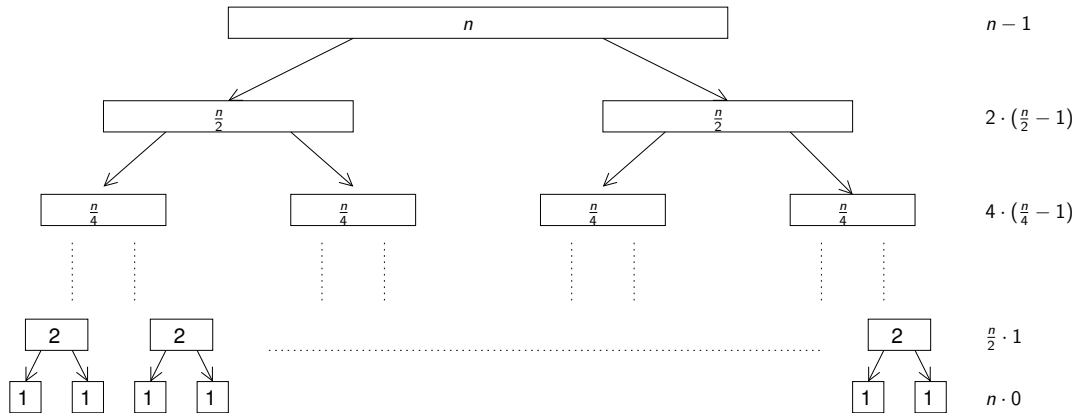
What do you do with that? Guess  $cm \lg m$ , on the intuition of its similarity to mergesort.

$$\begin{aligned} &= 3c \frac{m}{2} \lg \frac{m}{2} + m \\ &= \frac{3}{2} cm \lg m - \frac{3}{2} cm + m \end{aligned}$$

This isn't working out. In fact, the complexity class is wrong.



$$C_{ms}(n) = \begin{cases} 0 & \text{if } n \leq 1 \\ n - 1 + 2C_{ms}(\frac{n}{2}) & \text{otherwise} \end{cases}$$



**4.3-9.**  $T(n) = 3T(\sqrt{n}) + \lg n$ . Again, let  $m = \lg n$ ,  $n = 2^m$ , and  $S(m) = 3S(\frac{m}{2}) + m$ . Then guess  $m^{\lg 3} - \frac{m}{2}$ . (Of course.)

$$\begin{aligned} S(m) &= 3S\left(\frac{m}{2}\right) + m \\ &= 3\left(\left(\frac{m}{2}\right)^{\lg 3} - \frac{m}{2}\right) + m \\ &= 3\frac{m^{\lg 3}}{2^{\lg 3}} - \frac{3}{2}m + m \\ &= 3\frac{m^{\lg 3}}{3} + \frac{-3+2}{2}m \\ &= m^{\lg 3} - \frac{m}{2} \end{aligned}$$

So,  $S(m) = \Theta(m^{\lg 3}) = \Theta((\lg n)^{\lg 3})$ .