

## Chapter 4, Graphs:

- ▶ Concepts and implementation (Monday, Sept 29)
- ▶ Traversal (Wednesday, Oct 1 and in lab Thursday, Oct 2)
- ▶ Minimum spanning trees (**Friday, Oct 3 and Monday, Oct 6**)
- ▶ Single-source shortest paths (next week Wednesday, Oct 8, and Friday, Oct 10)
- ▶ (Test 1 Wednesday, Oct 15)

### “Today” (Friday and Monday):

- ▶ MST problem definition
- ▶ Brute-force solution
- ▶ General structure of good solutions
- ▶ Kruskal’s algorithm, plus proof and analysis
- ▶ Prim’s algorithm, plus proof and analysis
- ▶ Performance comparison

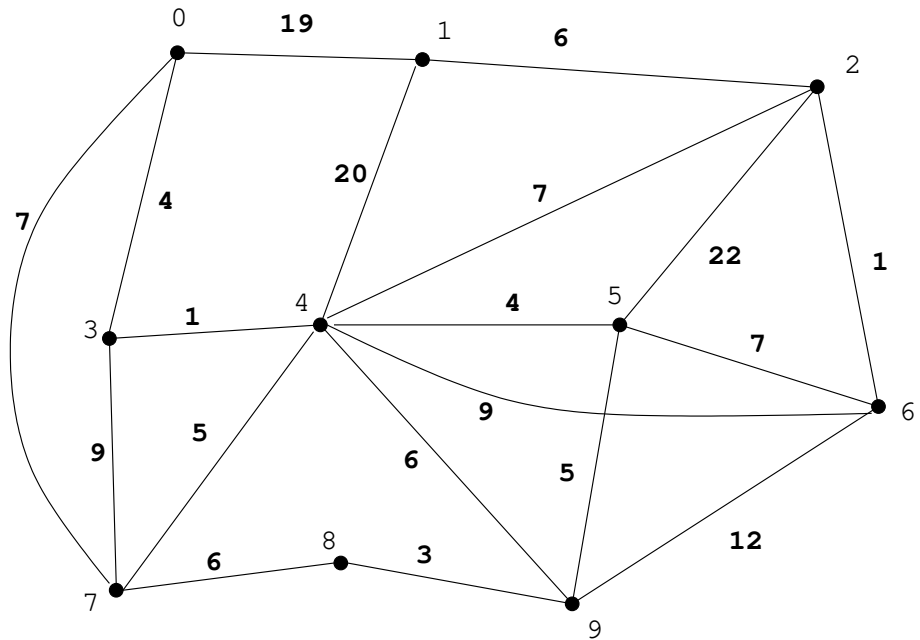
## Minimum spanning tree problem

Given a weighted, undirected, connected graph, find minimum spanning tree:

**Tree:** A (sub)graph with no cycles. [We represent the tree as a set of edges.]

**Spanning:** All vertices in the original graph are included in the tree.

**Minimum:** For all spanning trees, this has least total weight.



## General strategy for MST (both algorithms):

- ▶ Maintain a set of edges  $A$  that is a subset of a MST
- ▶ At each step, add one edge to  $A$  until it's a MST

## Invariant (General MST main loop)

*There exists  $T \subseteq E$  such that  $T$  is a minimum spanning tree of  $G$  and  $A \subseteq T$ .*

## General algorithm outline:

$A = \emptyset$

While  $A$  isn't a MST

    add an edge to  $A$  that maintains the invariant

**Insight 1:**  $A$  implicitly partitions vertices into connected components. The lightest edge that connects two components is safe.

### Lemma (Safe edges in Kruskal's algorithm.)

*If  $G = (V, E)$  is a graph,  $A$  is a subset of a minimum spanning tree for  $G$ , and  $(u, v)$  is the lightest edge connecting any distinct connected components of  $A$ , then  $(u, v)$  is a safe edge for  $A$ , that is,  $A \cup \{(u, v)\}$  is a subset of a minimum spanning tree.*

**Proof.** Suppose everything in the hypothesis, in particular that  $A$  is a subset of some minimum spanning tree  $T$  and that  $u$  and  $v$  are in distinct connected components of  $A$ , call them  $A_u$  and  $A_v$ . Let  $w_T$  be the total weight of  $T$ , that is, the sum of the weights of all the edges of  $T$ . We want to prove that adding  $(u, v)$  to  $A$  makes something that is still a subset of some minimum spanning tree.

If  $(u, v) \in T$ , then we're done. Suppose, then, that  $T$  does not contain  $(u, v)$ . Since  $T$  is a spanning tree, it means that  $u$  and  $v$  are connected in  $T$ . Pick the lightest edge on the path from  $u$  to  $v$  that is not in  $A$ , call it  $(x, y)$ . Essentially  $(x, y)$  is an edge that was picked instead of  $(u, v)$  that contributed to connecting  $A_u$  and  $A_v$ .

Snip out  $(x, y)$ . This would disconnect  $T$ , that is, the graph  $T - \{(x, y)\}$  is not a tree, but rather contains two connected components, one with  $u$  in it and the other with  $v$  in it. Now splice in  $(u, v)$ . That will reconnect  $u$  and  $v$  and make it into a tree again. Formally we've made a new spanning tree  $(T - \{(x, y)\}) \cup \{(u, v)\}$ .

The hypothesis says that  $(u, v)$  was the lightest edge connecting distinct components of  $A$ . That means  $w(u, v) \leq w(x, y)$ . That in turn means that the total weight of the new spanning tree is also just as good, if not better, than the old one:  $w_{(T - \{(x, y)\}) \cup \{(u, v)\}} \leq w_T$ . Since it ties or beats a (supposed) minimum spanning tree,  $(T - \{(x, y)\}) \cup \{(u, v)\}$  must be a minimum spanning tree. Therefore  $(u, v)$  is safe.  $\square$

### Invariant (General MST main loop)

*There exists  $T \subseteq E$  such that  $T$  is a minimum spanning tree of  $G$  and  $A \subseteq T$ .*

**Insight 1:**  $A$  implicitly partitions vertices into connected components. The lightest edge that connects two components is safe.

### Invariant (Prim's algorithm main loop)

*$A$  is a (single) tree.*

**Insight 2:** The lightest edge that connects a new vertex to  $A$  is safe.



		Kruskal		Prim	
		Unoptimized	Optimized	Unoptimized	Optimized
Sparse	Adjacency list	31579	28841	72364	58089
	Adjacency matrix	49128	35493	67887	49537
Medium	Adjacency list	147527	54877	180407	113555
	Adjacency matrix	127485	59821	146358	75906
Dense	Adjacency list	136762	69867	191617	123762
	Adjacency matrix	162468	78154	130984	72245

## Minimum Spanning Tree Problem

Given a weighted, undirected graph, find the tree with least-total weight that connects all the vertices, if one exists.

- ▶ Both are defined for weighted graphs
- ▶ Both produce trees as a result
- ▶ Both minimize by weight
- ▶ For each we have two algorithms

Input is only a graph

Problem usually is described on an undirected graph

Goal is to minimize total weight

There is no clear winner between the algorithms

## Single-Source Shortest Paths Problem

Given a weighted directed graph and a source vertex, find the tree comprising the shortest paths from that source to all other reachable vertices.

Input is a graph and a starting point

Problem usually is described on a directed graph

Goal is to minimize weight on each path

One algorithm is clearly more efficient

## Coming up:

*Catch up on other projects...*

*Do **MST** project (due Wed, Oct 8)*

*Due **Mon, Oct 6** (end of day)*

*Read Section 4.4*

*Do Exercises 4.(40, 42, 43)*

*Take MST quiz*

*Due **Fri, Oct 10** (end of day)*

*Read Section 4.5*

*Do Exercises 4.(55-57)*

*Take SSSP quiz*