

## Chapter 5, Dynamic Programming:

- ▶ Introduction and sample problems (last week Friday)
- ▶ Principles of DP (Monday)
- ▶ DP algorithms, solutions to sample problems (**Today**)
- ▶ Coding up DP algorithms (lab Thursday)
- ▶ Begin optimal BSTs (next week Monday)
- ▶ Finish optimal BSTs/review for test (next week Wednesday)
- ▶ [Test 2, Thurs, Apr 4, *not* covering DP]

## Today:

- ▶ Algorithm for Knapsack
- ▶ Recursive characterization and algorithm for Longest Common Subsequence
- ▶ Recursive characterization and algorithm for Matrix Multiplication

Which of the following phrases uses the word *programming* in the same sense (or, at least, most nearly the same sense) as the phrase *dynamic programming* uses the word.

- ▶ Parallel programming
- ▶ Linear programming
- ▶ eXtreme Programming
- ▶ Pair programming

## 0-1 Knapsack.

Given a capacity  $c$  and the value and weight of  $n$  items in arrays  $V$  and  $W$ , find a subset of the  $n$  items whose total weight is less than or equal to the capacity and whose total value is maximal.

$V$	20	15	90	100
$W$	1	2	4	5
	0	1	2	3

$$c = 7$$

set	weight	value	
{2, 3}	9	190	<i>exceeds capacity</i>
{1, 3}	7	115	<i>not optimal</i>
{0, 1, 2}	7	125	<i>optimal</i>

## Knapsack

Let  $B[i][j]$  be the value of the best way to fill remaining knapsack capacity  $i$  using only items 0 through  $j$ . Then  $B[c][n - 1]$  is the value-solution to the entire problem, that is,

$$B[c][n - 1] = \max_K \sum_{j=0}^{n-1} K[j]V[j]$$

In the general case we have the choice between

$$\underbrace{V[j]}_{\text{value of the } j\text{th item}} + \underbrace{B[i - W[j]][j - 1]}_{\substack{\text{remaining capacity after} \\ \text{taking the } j\text{th item}}} \\ \underbrace{\hspace{10em}}_{\text{The best way to fill the remaining capacity with the remaining items}}$$

versus

$$\underbrace{B[i][j - 1]}_{\substack{\text{The best way to fill the unchanged} \\ \text{capacity with the remaining items}}}$$

## Knapsack

$$B[i][j] = \begin{cases} 0 & \text{if } j = 0 \text{ and } W[0] > i \quad (0\text{th doesn't fit)} \\ V[0] & \text{if } j = 0 \text{ and } W[0] \leq i \quad (0\text{th fits)} \\ B[i][j-1] & \text{if } W[j] > i \quad (j\text{th doesn't fit)} \\ \max \left\{ \begin{array}{l} V[j] + B[i - W[j]][j-1], \\ B[i][j-1] \end{array} \right\} & \text{otherwise} \quad (j \text{ fits}) \end{cases}$$

Problem:

item	0	1	2	3	4
weight	1	11	6	5	4
value	150	990	70	50	40

<b>4</b>	0/S	150/S	150/S	150/S	150/S	190/T	200/S	220/S	220/S	220/S	240/T
<b>3</b>	0/S	150/S	150/S	150/S	150/S	150/S	200/T	220/S	220/S	220/S	220/S
<b>2</b>	0/S	150/S	150/S	150/S	150/S	150/S	150/S	220/T	220/T	220/T	220/T
<b>1</b>	0/S	150/S	150/S	150/S	150/S	150/S	150/S	150/S	150/S	150/S	150/S
<b>0</b>	0/S	150/T	150/T	150/T	150/T	150/T	150/T	150/T	150/T	150/T	150/T
	<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>9</b>	<b>10</b>
						capacities					

## Longest common subsequence.

*Given two sequences, find the longest subsequence that they have in common.*

D A T A S T R U C T U R E S  
A L G O R I T M S

A A A A A B not A A A A A B  
A B A A A A A B A A A A

A A A A A B A A A A not A A A A A B A A A A  
A B A A A A A B A A A A

## Longest common subsequence

Let  $L[i][j]$  be the length of the longest common subsequence of  $a[:i]$  and  $b[:j]$ . Then  $L[n][m]$  is the top-level problem.

$$L[i][j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 & \text{(At least one prefix is empty)} \\ 1 + L[i-1][j-1] & \text{if } i \neq 0 \text{ and } j \neq 0 \\ & \text{and } a[i-1] = b[j-1] & \text{(Last symbols match—take it)} \\ \max\{L[i][j-1], \\ L[i-1][j]\} & \text{otherwise} & \text{(Last symbols don't match—skip one)} \end{cases}$$



For subsequences algorithms and datastructures, the table would be:

s	<b>10</b>	0	0/1	1/1	2/1	2/1	3/0	3/-1	3/-1	3/-1	3/-1	3/1	3/1	3/1	3/1	4/0
m	<b>9</b>	0	0/1	1/1	2/1	2/1	2/1	2/1	2/1	2/1	2/1	3/1	3/1	3/1	3/1	3/1
h	<b>8</b>	0	0/1	1/1	2/1	2/1	2/1	2/1	2/1	2/1	2/1	3/1	3/1	3/1	3/1	3/1
t	<b>7</b>	0	0/1	1/1	2/0	2/-1	2/-1	2/0	2/1	2/1	2/1	3/0	3/-1	3/-1	3/-1	3/-1
i	<b>6</b>	0	0/1	1/1	1/1	1/1	1/1	1/1	2/1	2/1	2/1	2/1	2/1	2/1	2/1	2/1
r	<b>5</b>	0	0/1	1/1	1/1	1/1	1/1	1/1	2/0	2/-1	2/-1	2/-1	2/-1	2/0	2/-1	2/-1
o	<b>4</b>	0	0/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1
g	<b>3</b>	0	0/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1
l	<b>2</b>	0	0/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1	1/1
a	<b>1</b>	0	0/1	1/0	1/-1	1/0	1/-1	1/-1	1/-1	1/-1	1/-1	1/-1	1/-1	1/-1	1/-1	1/-1
	<b>0</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		<b>0</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>9</b>	<b>10</b>	<b>11</b>	<b>12</b>	<b>13</b>	<b>14</b>
			d	a	t	a	s	t	r	u	c	t	u	r	e	s

## Matrix multiplication.

*Given  $n + 1$  dimensions of  $n$  matrices to be multiplied, find the optimal order in which to multiply the matrices, that is, find the parenthesization of the matrices that will minimize the number of scalar multiplications.*

Assume the following matrices and dimensions:  $A, 3 \times 5$ ;  $B, 5 \times 10$ ;  $C, 10 \times 2$ ,  $D, 2 \times 3$ ;  $E, 3 \times 4$ .

$$(A \times B) \times (C \times (D \times E)) \quad 3 \cdot 5 \cdot 10 + 2 \cdot 3 \cdot 4 + 10 \cdot 2 \cdot 4 + 3 \cdot 10 \cdot 4 = 374$$

$$(A \times (B \times C)) \times (D \times E) \quad 5 \cdot 10 \cdot 2 + 2 \cdot 3 \cdot 4 + 3 \cdot 5 \cdot 2 + 3 \cdot 2 \cdot 4 = 178$$

$$A \times (B \times (C \times (D \times E))) \quad 2 \cdot 3 \cdot 4 + 10 \cdot 2 \cdot 4 + 5 \cdot 10 \cdot 4 + 3 \cdot 5 \cdot 4 = 364$$

## Matrix multiplication.

$$\begin{pmatrix} 2 & 8 \\ 5 & 7 \end{pmatrix} \begin{pmatrix} 3 & 6 \\ 1 & 4 \end{pmatrix} = \begin{pmatrix} 2 \cdot 3 + 8 \cdot 1 & 2 \cdot 6 + 8 \cdot 4 \\ 5 \cdot 3 + 7 \cdot 1 & 5 \cdot 6 + 7 \cdot 4 \end{pmatrix} = \begin{pmatrix} 14 & 44 \\ 22 & 58 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 3 & 12 \\ 2 & 7 & 11 \end{pmatrix} \begin{pmatrix} 4 & 10 \\ 8 & 6 \\ 9 & 5 \end{pmatrix} = \begin{pmatrix} 1 \cdot 4 + 3 \cdot 8 + 12 \cdot 9 & 1 \cdot 10 + 3 \cdot 6 + 12 \cdot 5 \\ 2 \cdot 4 + 7 \cdot 8 + 11 \cdot 9 & 2 \cdot 10 + 7 \cdot 6 + 11 \cdot 5 \end{pmatrix} = \begin{pmatrix} 136 & 88 \\ 163 & 117 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 2 & 5 \\ 6 & 8 & 9 \end{pmatrix} \begin{pmatrix} 3 \\ 7 \\ 4 \end{pmatrix} = \begin{pmatrix} 1 \cdot 3 + 2 \cdot 7 + 5 \cdot 4 \\ 6 \cdot 3 + 8 \cdot 7 + 9 \cdot 4 \end{pmatrix} = \begin{pmatrix} 37 \\ 110 \end{pmatrix}$$

## Matrix multiplication

Let  $M[i][j]$  be the least number of scalar multiplications needed to multiply submatrices  $A_i$  through  $A_j$ , inclusive. Then  $M[0][n-1]$  is the top-level problem.

$$M[i][j] = \begin{cases} 0 & \text{if } i = j \quad (\text{Only one matrix}) \\ \min_{i \leq k < j} \{ M[i][k] + D[i]D[k+1]D[j+1] + M[k+1][j] \} & \text{otherwise} \quad (\text{Find the best way to cut this series}) \end{cases}$$

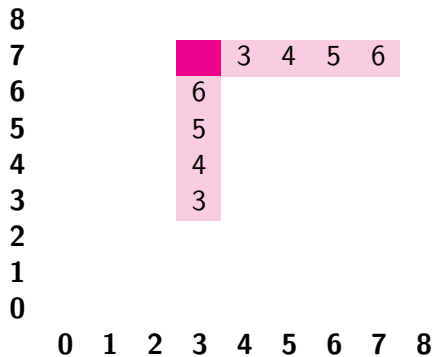
Close-up of the recursive case:

$$\underbrace{M[i][k]}_{\substack{\text{minimum} \\ \text{multiplica-} \\ \text{tions for} \\ (A_i \cdots A_k)}} + \underbrace{D[i]D[k+1]D[j+1]}_{\substack{\text{multiplications for} \\ (A_i \cdots A_k)(A_{k+1} \cdots A_j)}} + \underbrace{M[k+1][j]}_{\substack{\text{minimum} \\ \text{multiplica-} \\ \text{tions for} \\ (A_{k+1} \cdots A_j)}}$$

Which subproblems does subproblem  $M[3][7]$  depend on?

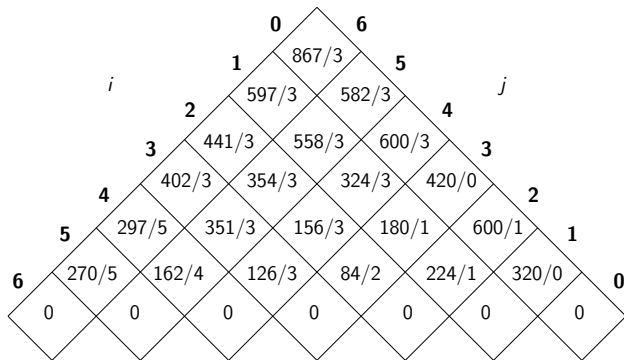
For  $k$  ranging over  $[3, 7)$ :

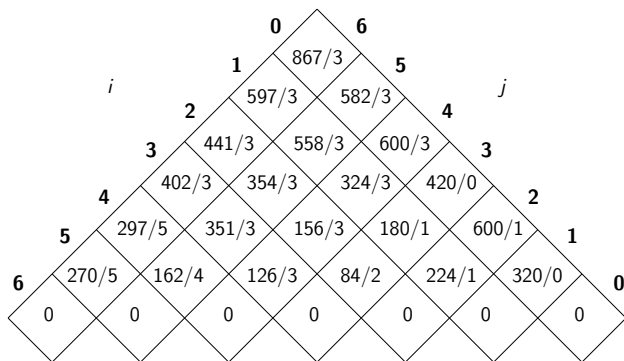
$k$  subproblems                      3                      4                      5                      6  
( $M[3][3], M[4][7]$ )    ( $M[3][4], M[5][7]$ )    ( $M[3][5], M[6][7]$ )    ( $M[3][6], M[7][7]$ )



$$M[i][j] = \begin{cases} 0 & \text{if } i = j \quad \text{(Only one matrix)} \\ \min_{i \leq k < j} \{ M[i][k] + D[i]D[k+1]D[j+1] + M[k+1][j] \} & \text{otherwise} \quad \text{(Find the best way to cut this series)} \end{cases}$$

Problem:  $D = [10, 8, 4, 7, 3, 6, 9, 5]$



**Cell indices  $(i, j)$** **Base cases**  $(0,0), (1,1), (2,2), (3,3), (4,4), (5,5), (6,6)$  $(0,1), (1,2), (2,3), (3,4), (4,5), (5,6)$  $(0,2), (1,3), (2,4), (3,5), (4,6)$  $(0,3), (1,4), (2,5), (3,6)$  $(0,4), (1,5), (2,6)$  $(0,5), (1,6)$ **Top-level problem**  $(0,6)$ Problem:  $D = [10, 8, 4, 7, 3, 6, 9, 5]$ 

## Coming up:

*Catch up on projects. . .*

*Due **Wed, Mar 27** (class time)*

*Read Section 6.4*

*Do Exercises 6.(20, 24, 32)*

*Due **Thurs, Mar 28** (end of day)*

*Take quiz (DP algorithms)*

*(See Canvas for practice problems for Test 2; general study guide forthcoming. . .)*