Chapter 4, Graphs:

- Concepts and implementation (last week Friday, Feb 9)
- Traversal (this past Monday, Feb 12)
- Minimum spanning trees (Wednesday, Feb 14, and Friday, Feb 16)
- Single-source shortest paths (next week Wednesday, Feb 21, and Friday, Feb 23)
- (Test 1 Wednesday, Feb 28)
"Today" (Wednesday and Friday):
- Finish graph traversal (analysis)
- MST problem definition
- Brute-force solution
- General structure of good solutions
- Kruskal's algorithm, plus proof and analysis
- Prim's algorithm, plus proof and analysis
- Performance comparison


## Minimum spanning tree problem

Given a weighted, undirected, connected graph, find minimum spanning tree:

Tree: A (sub)graph with no cycles. [We represent the tree a a set of edges.]
Spanning: All vertices in the original graph are included in the tree.
Minimum: For all spanning trees, this has least total weight.


General strategy for MST (both algorithms):

- Maintain a set of edges $A$ that is a subset of a MST
- At each step, add one edge to $A$ until it's a MST

Invariant (General MST main loop)
There exists $T \subseteq E$ such that $T$ is a minimum spanning tree of $G$ and $A \subseteq T$.

## General algorithm outline:

$A=\emptyset$
While $A$ isn't a MST
add an edge to $A$ that maintains the invariant

Insight 1: A implicitly partitions vertices into connected components. The lightest edge that connects two components is safe.

Lemma (Safe edges in Kruskal's algorithm.)
If $G=(V, E)$ is a graph, $A$ is a subset of a minimum spanning tree for $G$, and $(u, v)$ is the lightest edge connecting any distinct connected components of $A$, then $(u, v)$ is a safe edge for $A$, that is, $A \cup\{(u, v)\}$ is a subset of a minimum spanning tree.

Proof. Suppose everything in the hypothesis, in particular that $A$ is a subset of some minimum spanning tree $T$ and that $u$ and $v$ are in distinct connected components of $A$, call them $A_{u}$ and $A_{v}$. Let $w_{T}$ be the total weight of $T$, that is, the sum of the weights of all the edges of $T$. We want to prove that adding $(u, v)$ to $A$ makes something that is still a subset of some minimum spanning tree.

If $(u, v) \in T$, then we're done. Suppose, then, that $T$ does not contain $(u, v)$. Since $T$ is a spanning tree, it means that $u$ and $v$ are connected in $T$. Pick the lightest edge on the path from $u$ to $v$ that is not in $A$, call it $(x, y)$. Essentially $(x, y)$ is an edge that was picked instead of $(u, v)$ that contributed to connecting $A_{u}$ and $A_{v}$.

Snip out $(x, y)$. This would disconnect $T$, that is, the graph $T-\{(x, y)\}$ is not a tree, but rather contains two connected components, one with $u$ in it and the other with $v$ in it. Now splice in $(u, v)$. That will reconnect $u$ and $v$ and make it into a tree again. Formally we've made a new spanning tree $(T-\{(x, y)\}) \cup\{(u, v)\}$.

The hypothesis says that $(u, v)$ was the lightest edge connecting distinct components of $A$. That means $w(u, v) \leq w(x, y)$. That in turn means that the total weight of the new spanning tree is also just as good, if not better, than the old one: $w_{T-\{(x, y)\}) \cup\{(u, v)\} \leq w_{T} \text {. Since it ties or beats a (supposed) minimum }}$ spanning tree, $(T-\{(x, y)\}) \cup\{(u, v)\}$ must be a minimum spanning tree. Therefore $(u, v)$ is safe.

Invariant (General MST main loop)
There exists $T \subseteq E$ such that $T$ is a minimum spanning tree of $G$ and $A \subseteq T$.
Insight 1: A implicitly partitions vertices int connected components. The lightest edge that connects two components is safe.
Invariant (Prim's algorithm main loop)
$A$ is a (single) tree.
Insight 2: The lightest edge that connects a new vertex to $A$ is safe.

> | Kruskal |  |
| :---: | :---: |
| Unoptimized Optimized |  |

| Sparse | Adjacency list | 31579 | 28841 | 72364 | 58089 |
| :--- | :--- | :--- | :--- | :--- | ---: |
|  | Adjacency matrix | 49128 | 35493 | 67887 | 49537 |
| Medium | Adjacency list | 147527 | 54877 |  |  |
|  | Adjacency matrix | 127485 | 59821 | 180407 | 113555 |
|  |  |  |  |  |  |
| Dense | Adjacency list | 136762 | 69867 | 191617 | 123762 |
|  | Adjacency matrix | 162468 | 78154 | 130984 | 72245 |

## Minimum Spanning Tree Problem

Given a weighted, undirected graph, find the tree with least-total weight that connects all the vertices, if one exists.

## Single-Source Shortest Paths Problem

Given a weighted directed graph and a source vertex, find the tree comprising the shortest paths from that source to all other reachable vertices.

- Both are defined for weighted graphs
- Both produce trees as a result
- Both minmize by weight
- For each we have two algorithms

Input is only a graph
Problem usually is described on an undirected graph
Goal is to minimize total weight
There is no clear winner between the algorithms

Input is a graph and a starting point
Problem usually is described on a directed graph
Goal is to minimize weight on each path
One algorithm is clearly more efficient

## Coming up:

Catch up on other projects. .
Do MST project (due Wed, Feb 21)
Due Fri, Feb 16 (end of day)
Read Section 4.4
Do Exercises 4.(40, 42, 43)
Take MST quiz
Due Fri, Feb 23 (end of day)
Read Section 4.5
Do Exercises 4. (50, 51, 59)
Take SSSP quiz

